

## Mid-Term Exam Study Guide - Part #2

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**Thm** - given sets  $A$  and  $B$ , with  $|A|$  elements and  $|B|$  elements, respectively, there are exactly  $|B|^{|A|}$  functions from  $A$  to  $B$ .

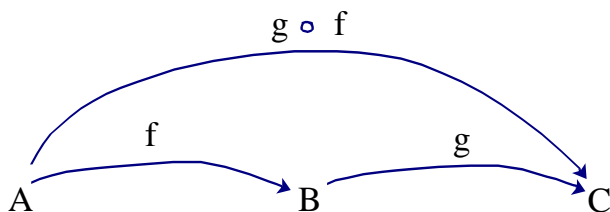
**Proof.**  $\forall a \in A$ , there are  $|B|$  elements in  $B$  that  $a$  can be mapped to by  $f$ . So there are  $(|B|$  choices for  $f(a_1)) \cdot (|B|$  choices for  $f(a_2)) \cdot \dots \cdot (|B|$  choices for  $f(a_n)) = |B|^n$  ■

**Ex** given that  $A = \{a, b, c, d\}$  and  $B = \{1, 2\}$ , There exist  $|B|^{|A|} = 2^4$  functions from  $A \rightarrow B$ .

**Thm** The composition of one to one functions is one to one.

**Proof.** Suppose that  $f : A \rightarrow B$  and  $g : B \rightarrow C$  are both one to one.

Then  $g \circ f : A \rightarrow C$  as shown below.



To show that  $g \circ f$  is one to one, we suppose that:

$$(g \circ f)(x_1) = (g \circ f)(x_2)$$

$$\Rightarrow g(f(x_1)) = g(f(x_2))$$

$$\Rightarrow f(x_1) = f(x_2) \quad (\text{Because } g \text{ is one to one})$$

$$\Rightarrow x_1 = x_2 \quad (\text{Because } f \text{ is one to one})$$

$$\text{i.e., } (g \circ f)(x_1) = (g \circ f)(x_2) \Rightarrow x_1 = x_2$$

Hence,  $(g \circ f)$  is one to one. ■

**Thm** The composition of one to one (onto) functions is one to one (onto)

(i.e., If  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is onto, and  $g : \mathbf{Y} \rightarrow \mathbf{Z}$  is onto, then  $g \circ f : \mathbf{X} \rightarrow \mathbf{Z}$  is onto.)

**Proof.** Let the hypothesis be given. (i.e., Suppose that  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is onto, and  $g : \mathbf{Y} \rightarrow \mathbf{Z}$  is onto.)

Let  $z \in \mathbf{Z}$ .

Since  $g$  is onto,  $\exists y \in \mathbf{Y}$  (we'll call it  $y_z$ ) such that  $g(y_z) = z$ .

Since  $f$  is onto,  $\exists x \in \mathbf{X}$  (we'll call it  $x_z$ ) such that  $f(x_z) = y_z$ .

Observe:  $g(f(x_z)) = g(y_z) = z$ .

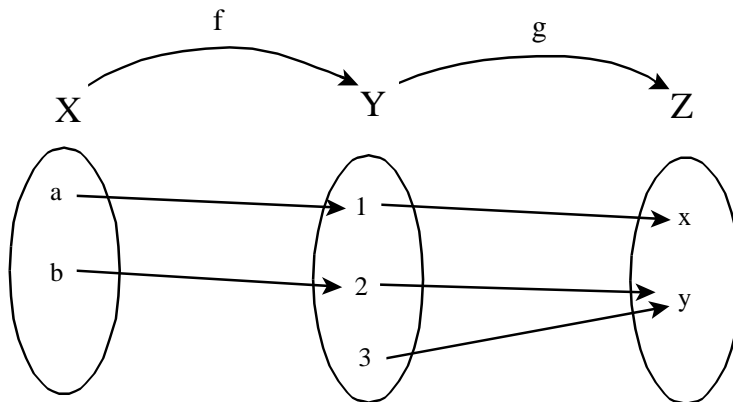
Thus, given  $z \in \mathbf{Z}$ ,  $\exists x \in \mathbf{X}$  such that  $(g \circ f)(x) = z$ .

Hence,  $g \circ f$  is onto. ■

**Proposition:** Given  $f : \mathbf{X} \rightarrow \mathbf{Y}$  and  $g : \mathbf{Y} \rightarrow \mathbf{Z}$ , Suppose that  $g \circ f : \mathbf{X} \rightarrow \mathbf{Z}$  is one to one. Is either  $f$  or  $g$  necessarily one to one?

**Claim:**  $g$  is not necessarily one to one.

**Proof.** Consider  $f : \mathbf{X} \rightarrow \mathbf{Y}$  and  $g : \mathbf{Y} \rightarrow \mathbf{Z}$  as shown below. Note that  $g \circ f : \mathbf{X} \rightarrow \mathbf{Z}$  is one to one, as  $x_1 \neq x_2 \Rightarrow f(x_1) \neq f(x_2)$ , but  $g : \mathbf{Y} \rightarrow \mathbf{Z}$  is not one to one, as  $f(2) = f(3)$ .



**Claim:**  $f$  must be one to one.

**Proof.** Let the hypothesis be given. (i.e., suppose that  $g \circ f : \mathbf{X} \rightarrow \mathbf{Z}$  is one to one.)

Suppose also, for the sake of deriving a contradiction, that  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is not one to one. Then  $\exists x_1, x_2 \in \mathbf{X}$ , with  $x_1 \neq x_2$ , such that  $f(x_1) = f(x_2)$ .

$$\Rightarrow g(f(x_1)) = g(f(x_2)).$$

Thus,  $\exists x_1, x_2 \in \mathbf{X}$ , with  $x_1 \neq x_2$ , such that  $g(f(x_1)) = g(f(x_2))$ .

$\Rightarrow g \circ f : \mathbf{X} \rightarrow \mathbf{Z}$  is not one to one, contrary to our hypothesis.

Since the assumption (that  $f$  is not one to one) yields a contradiction, it must be false.

Hence,  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is one to one.

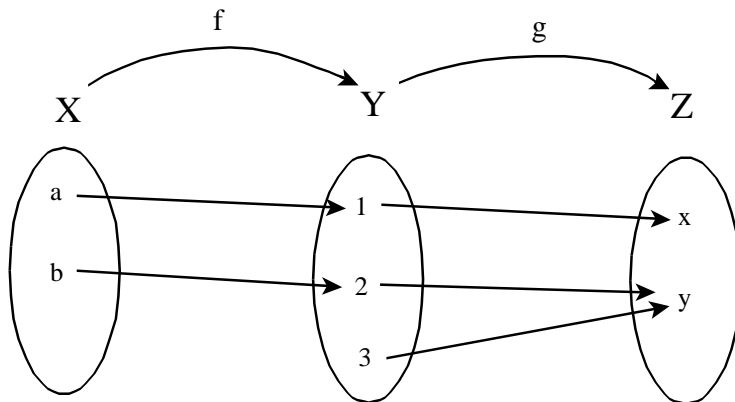
Thus, if  $g \circ f : \mathbf{X} \rightarrow \mathbf{Z}$  is one to one, then  $f : \mathbf{X} \rightarrow \mathbf{Y}$  must be one to one, but  $g : \mathbf{Y} \rightarrow \mathbf{Z}$  is not necessarily one to one. ■

**Thm** (Relative to the previous proposition) - If  $g \circ f$  is one to one, then  $f$  is one to one also.

**Proposition:** Given  $f : \mathbf{X} \rightarrow \mathbf{Y}$  and  $g : \mathbf{Y} \rightarrow \mathbf{Z}$ , Suppose that  $g \circ f : \mathbf{X} \rightarrow \mathbf{Z}$  is onto. Is either  $f$  or  $g$  necessarily onto?

**Claim:**  $f$  is not necessarily onto.

**Proof.** Consider  $f : \mathbf{X} \rightarrow \mathbf{Y}$  and  $g : \mathbf{Y} \rightarrow \mathbf{Z}$  as shown below. Note that  $g \circ f : \mathbf{X} \rightarrow \mathbf{Z}$  is onto, as  $\forall z \in \mathbf{Z}, \exists x \in \mathbf{X}$  such that  $g(f(x)) = z$ , and yet  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is not onto.



**Claim:**  $g : \mathbf{Y} \rightarrow \mathbf{Z}$  must be onto.

**Proof.** Let the hypothesis be given. (i.e., suppose that  $g \circ f : \mathbf{X} \rightarrow \mathbf{Z}$  is onto.) Let  $z \in \mathbf{Z}$  be given.

Then  $\exists x \in \mathbf{X}$  such that  $g(f(x)) = z$ .

$\Rightarrow \exists y \in \mathbf{Y}$  (namely  $y = f(x)$ ), such that  $g(y) = z$ .

i.e., Given  $z \in \mathbf{Z}$ ,  $\exists y \in \mathbf{Y}$  such that  $g(y) = z$ .

Hence,  $g : \mathbf{Y} \rightarrow \mathbf{Z}$  must be onto.

Thus, if  $g \circ f : \mathbf{X} \rightarrow \mathbf{Z}$  is onto, then  $g : \mathbf{Y} \rightarrow \mathbf{Z}$  must be onto, but  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is not necessarily onto. ■

**Thm** (Relative to the previous proposition) - If  $g \circ f$  is onto, then  $g$  is onto.

**Thm**  $f$  has an inverse exactly when  $f$  is bijective

(i.e., A function  $f : \mathbf{X} \rightarrow \mathbf{Y}$  has an inverse if and only if it is one to one and onto.)

**Proof.** If  $f : \mathbf{X} \rightarrow \mathbf{Y}$  has an inverse then it is one to one and onto.

Let the hypothesis be given. (i.e., suppose that  $f : \mathbf{X} \rightarrow \mathbf{Y}$  has an inverse,  $f^{-1}$ )

Then:  $f^{-1} \circ f = 1_X$ , and hence,  $f^{-1} \circ f$  is one to one and onto.

Also:  $f \circ f^{-1} = 1_Y$ , and hence,  $f \circ f^{-1}$  is one to one and onto.

Since  $f^{-1} \circ f$  is one to one and onto, then by previous exercises ( and ),  $f$  must be one to one, and  $f^{-1}$  must be onto.

Since  $f \circ f^{-1}$  is one to one and onto, then by previous exercises ( and),  $f^{-1}$  must be one to one, and  $f$  must be onto.

Hence, both  $f$  and  $f^{-1}$  are one to one and onto.

In particular,  $f$  is one to one and onto.

If  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is one to one and onto, then it has an inverse.

Let the hypothesis be given. (i.e., Suppose that  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is one to one and onto.)

Note that since  $f$  is onto, for any value of  $y \in \mathbf{Y}$ , there exists an  $x \in \mathbf{X}$  such that  $f(x) = y$ .

Since  $f$  is one to one, there is *only* one  $x \in \mathbf{X}$  such that  $f(x) = y$ . We'll call it  $x_y$

Thus, we can define  $f^{-1} : \mathbf{Y} \rightarrow \mathbf{X}$  by  $f^{-1}(y) = x_y$

We must now check and make sure that  $f^{-1} \circ f = 1_X$  and that  $f \circ f^{-1} = 1_Y$ .

**Observe:**  $f^{-1} \circ f(x_y) = f^{-1}(f(x_y)) = f^{-1}(y) = x_y$ .

Thus,  $f^{-1} \circ f = 1_X$

**Also:**  $f \circ f^{-1}(y) = f(f^{-1}(y)) = f(x_y) = y$

Hence,  $f$  has an inverse. ■

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**Remark:** Note that if  $\exists f : \mathbf{X} \rightarrow \mathbf{Y}$  that is one to one and onto, then  $\exists g : \mathbf{Y} \rightarrow \mathbf{X}$  that is one to one and onto (e.g.,  $f^{-1}$ )

**Remark:** If  $\exists f : S \rightarrow \mathbf{N}$  that is one to one and onto, then  $\exists g : \mathbf{N} \rightarrow \mathbf{S}$  that is one to one and onto also.

**Remark:** Thus, to show that a set is denumerable, we can show that  $\exists g : \mathbf{N} \rightarrow \mathbf{S}$  that is one to one and onto, or we can show that  $\exists f : S \rightarrow \mathbf{N}$  that is one to one and onto. Either is sufficient.

**Remark:** Since the composition of one to one and onto functions is also one to one and onto, if a set  $A$  is known to be denumerable, then any set  $B$  that can be put into a one to one correspondence with  $A$  is also denumerable.

**The point is this:** An alternate way of showing that a set  $B$  is denumerable is to exhibit a one to one correspondence between  $B$  and a set  $A$ , where  $A$  is known to be denumerable.

**More Generally:**

**Remark:** If  $\exists f : A \rightarrow B$  (one to one and onto), then  $\exists f^{-1} : B \rightarrow A$  (one to one and onto).

Hence, if  $A \approx B$ , then  $B \approx A$ .

**Remark:** If  $\exists f : A \rightarrow B$  (one to one and onto) and  $\exists g : B \rightarrow C$  (one to one and onto), then  $(g \circ f) : A \rightarrow C$  is one to one and onto)

Thus, if  $A \approx B$ , and  $B \approx C$ , then  $A \approx C$ . Also, in light of an earlier observation,  $C \approx A$ .

**Remark:** In general, every set that has the same cardinality as  $A$  has the same cardinality as every other set that has the same cardinality as  $A$ .

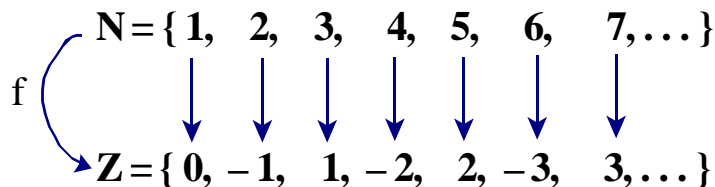
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**Thm**  $\mathbb{N} \approx \mathbb{Z}$  (i.e.,  $\mathbb{N}$  has the same cardinality as  $\mathbb{Z}$ .)

**Proof.** To show that  $\mathbb{N}$  has the same cardinality as  $\mathbb{Z}$ , we must show that there exists a one to one correspondence between the two sets.

Consider the function  $f : \mathbb{N} \rightarrow \mathbb{Z}$  given by  $f(n) = \begin{cases} \frac{n-1}{2} & \text{for } n \text{ odd} \\ -\frac{n}{2} & \text{for } n \text{ even} \end{cases}$ .

The function is pictured below:



Clearly,  $f$  is one to one and onto.

Hence,  $\mathbb{N} \approx \mathbb{Z}$  ■

**Exercise** Prove that the function given in the previous proof is one to one and onto.

**Proof.**  $f$  maps the odd natural numbers one to one and onto the non-negative integers.

Suppose that  $f(x_1) = f(x_2) \geq 0$

$$\Rightarrow \frac{x_1-1}{2} = \frac{x_2-1}{2}$$

$$\Rightarrow x_1 - 1 = x_2 - 1$$

$$\Rightarrow x_1 = x_2$$

i.e.,  $f(x_1) = f(x_2) \geq 0 \Rightarrow x_1 = x_2$

Hence,  $f$  maps the odd natural numbers one to one into the non-negative integers.

Next, suppose that  $n$  is a non-negative integer and let  $m = 2n + 1$ .

(Note that  $m$  is an odd natural number.)

$$\text{Observe that } f(m) = \frac{m-1}{2} = \frac{(2n+1)-1}{2} = \frac{2n}{2} = n$$

i.e., for each non-negative integer  $n$ ,  $\exists$  an odd number  $m$  (namely,  $m = 2n + 1$ ) such that  $f(m) = n$ .

$f$  maps the even natural numbers one to one and onto the negative integers.

Suppose that  $f(x_1) = f(x_2) < 0$

$$\Rightarrow -\frac{x_1}{2} = -\frac{x_2}{2}$$

$$\Rightarrow -x_1 = -x_2$$

$$\Rightarrow x_1 = x_2$$

i.e.,  $f(x_1) = f(x_2) < 0 \Rightarrow x_1 = x_2$

Hence,  $f$  maps the even natural numbers one to one into the negative integers.

Next, suppose that  $n$  is a negative integer and let  $m = -2n$ .

(Note that  $m$  is an even natural number.)

$$\text{Observe that } f(m) = -\frac{m}{2} = -\frac{(-2n)}{2} = \frac{2n}{2} = n$$

i.e., for each negative integer  $n$ ,  $\exists$  an even number  $m$  (namely,  $m = -2n$ ) such that  $f(m) = n$ .

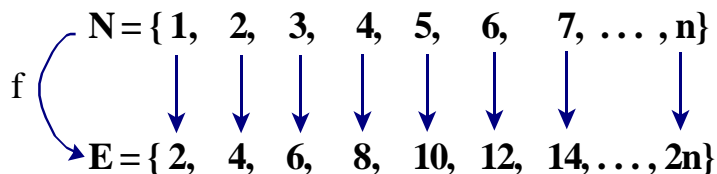
Thus,  $f$  maps  $\mathbb{N}$  one to one and onto  $\mathbb{Z}$ . ■

**Exercise** Prove that  $\mathbb{Z} \approx \mathbf{E}$

**Proof.** We will prove something a little easier to prove, namely  $\mathbb{N} \approx \mathbf{E}$ . Then, because of the preceding observations and the fact that  $\mathbb{N} \approx \mathbb{Z}$ , it will follow that  $\mathbb{Z} \approx \mathbf{E}$ .

**Define:**  $f : \mathbb{N} \rightarrow \mathbf{E}$  given by  $f(n) = 2n$ .

The function is shown below:



Clearly, from the diagram,  $f$  maps  $\mathbb{N}$  one to one and onto  $\mathbb{Z}$ . ■

**Thm:**  $\mathbf{Q}^+$  (The set of positive rational numbers) is denumerable.

Consider the table of ordered pairs below:

(1, 1)	→	(1, 2)		(1, 3)	→	(1, 4)		(1, 5)	→	...
	↙		↗		↙		↗			
(2, 1)		(2, 2)		(2, 3)		(2, 4)		(2, 5)		...
↓	↗		↙		↗					
(3, 1)		(3, 2)		(3, 3)		(3, 4)		(3, 5)		...
	↙		↗							
(4, 1)		(4, 2)		(4, 3)		(4, 4)		(4, 5)		...
↓	↗									
(5, 1)		(5, 2)		(5, 3)		(5, 4)		(5, 5)		...
⋮		⋮		⋮		⋮		⋮		

If we consider the ordered pair  $(i, j)$  in the  $i^{\text{th}}$  row and  $j^{\text{th}}$  column to represent the quotient of integers  $\frac{i}{j}$ , then every positive rational number appears in the table at least once. (e.g., the rational number  $\frac{m}{n}$  appears in the  $m^{\text{th}}$  row and  $n^{\text{th}}$  column.)

Furthermore, the arrows in the table induce an **exhaustive ordering** of the positive rational numbers as follows:

$$1, \frac{1}{2}, 2, 3, \frac{1}{3}, \frac{1}{4}, \frac{2}{3}, \frac{3}{2}, 4, 5, \frac{1}{5}, \dots$$

(Note that we have discarded repetitions of rationals if they occur. e.g., we have discarded  $(2, 2)$  because it is equivalent to  $(1, 1)$  which is already on our list.)

Note also that since the positive rationals are **ordered**, they are in a one to one correspondence with the natural numbers.

Hence, the positive rational numbers are denumerable. ■

**Lemma** The set of negative rational numbers  $\mathbf{Q}^-$  is denumerable.

**Proof.** The function  $f : \mathbf{Q}^+ \rightarrow \mathbf{Q}^-$  given by  $f\left(\frac{m}{n}\right) = -\frac{m}{n}$  is clearly one to one and onto.

For if  $f(x_1) = f(x_2)$ ,

Then  $-x_1 = -x_2$

$\Rightarrow x_1 = x_2$ , thus  $f$  is one to one.

Also, given  $y \in \mathbf{Q}^-$ , we can choose  $x \in \mathbf{Q}^+$ , given by  $x = -y$ .

This yields  $f(x) = -x = -(-y) = y$ .

Thus,  $f$  is onto. ■

**Lemma** The union of a denumerable set and a finite set is denumerable (you can assume that the two sets are disjoint).

**Proof.** Let  $A = \{a_1, a_2, \dots, a_k\}$  and  $B = \{b_1, b_2, b_3, \dots\}$ .

Then  $A$  is finite and  $B$  is denumerable.

Define  $f : \mathbf{N} \rightarrow (A \cup B)$  by  $f(n) = \begin{cases} a_n & \text{if } n \leq k \\ b_{n-k} & \text{if } n > k \end{cases}$

The function  $f$  is shown below:

$$\begin{array}{rcl} \mathbf{N} & = & \{ 1, 2, 3, \dots, k, k+1, k+2, k+3, \dots \} \\ f \downarrow & & \downarrow \downarrow \downarrow \quad \downarrow \quad \downarrow \quad \downarrow \quad \downarrow \\ (A \cup B) & = & \{ a_1, a_2, a_3, \dots, a_k, b_1, b_2, b_3, \dots \} \end{array}$$

Clearly from the diagram above,  $f$  is one to one and onto. Hence,  $(A \cup B)$  is denumerable. ■

**Thm** The union of two (disjoint) denumerable sets is denumerable.

**Proof.** Let  $A = \{a_1, a_2, a_3, \dots\}$  and  $B = \{b_1, b_2, b_3, \dots\}$

Define  $f : \mathbf{N} \rightarrow (A \cup B)$  by  $f(n) = \begin{cases} a_{\frac{n+1}{2}} & \text{if } n \text{ is odd} \\ b_{\frac{n}{2}} & \text{if } n \text{ is even} \end{cases}$

The function  $f$  is shown below:

$$\begin{array}{rcl} \mathbf{N} & = & \{ 1, 2, 3, 4, 5, 6, \dots \} \\ f \downarrow & & \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \\ (A \cup B) & = & \{ a_1, b_1, a_2, b_2, a_3, b_3, \dots \} \end{array}$$

Clearly from the diagram above,  $f$  is one to one and onto. Hence,  $(A \cup B)$  is denumerable. ■



**Thm** The union of finitely many (disjoint) denumerable sets is denumerable (i.e., if  $A_1, A_2, \dots, A_n$  are denumerable, then  $\cup_{i=1}^n A_i$  is denumerable.)

**Proof.** Suppose that  $A_1, A_2, \dots, A_n$  are denumerable. Then we can name their elements as follows:

$$A_1 = \{a_{11}, a_{12}, a_{13}, \dots\}$$

$$A_2 = \{a_{21}, a_{22}, a_{23}, \dots\}$$

⋮

$$A_n = \{a_{n1}, a_{n2}, a_{n3}, \dots\}$$

Consider:

$$\begin{array}{cccccccccccccccc} \mathbf{N} & = & \{ & 1, & 2, & & n, & n+1, & n+2, & & 2n, & 2n+1, & 2n+2, & & 3n, & \dots \\ \uparrow & & & \uparrow & \uparrow & & \uparrow & \uparrow & \uparrow & & \uparrow & \uparrow & \uparrow & & \uparrow & \\ \cup_{i=1}^n A_i & = & \{ & a_{11}, & a_{21}, & \dots, & a_{n1}, & a_{12}, & a_{22} & \dots, & a_{n2}, & a_{13}, & a_{23}, & \dots, & a_{n3}, & \dots \end{array}$$

The function  $f : \cup_{i=1}^n A_i \rightarrow \mathbf{N}$  given by  $f(a_{ij}) = (j-1)n + i$  as shown above, is clearly one to one and onto. Hence,  $\cup_{i=1}^n A_i$  is denumerable. ■

**Alternate Proof**

(By induction on  $n$ .)

(Step 1) Show that our proposition is true for  $n = 1$

$\cup_{i=1}^1 A_i = A_1$ , which is denumerable, by hypothesis.

(Step 2) Assume that  $\cup_{i=1}^k A_i$  is denumerable, and show that  $\cup_{i=1}^{k+1} A_i$  is denumerable.

Observe:  $\cup_{i=1}^{k+1} A_i = (\cup_{i=1}^k A_i) \cup A_{k+1}$ , which is denumerable, since it is the union of two denumerable sets.

Hence,  $\cup_{i=1}^n A_i$  is denumerable for all  $n \in \mathbf{N}$ . ■

**Thm** The union of denumerably many denumerable sets is denumerable (i.e., if  $A_1, A_2, \dots, A_n, \dots$  are denumerable, then  $\cup_{i=1}^{\infty} A_i$  is countable.) (Again, you can assume that the sets are disjoint.)

**Proof.** Let sets  $A_1, A_2, \dots, A_n, \dots$  be denumerable and given by:

$$\begin{aligned} A_1 &= \{a_{11}, a_{12}, a_{13}, \dots\} \\ A_2 &= \{a_{21}, a_{22}, a_{23}, \dots\} \\ A_3 &= \{a_{31}, a_{32}, a_{33}, \dots\} \\ &\vdots \\ A_n &= \{a_{n1}, a_{n2}, a_{n3}, \dots\} \\ &\vdots \end{aligned}$$

(Note that  $a_{ij}$  is the  $j^{\text{th}}$  element of the  $i^{\text{th}}$  set.)

Consider the table of elements from  $\cup_{i=1}^{\infty} A_i$  listed below:

$a_{11}$	$\rightarrow$	$a_{12}$	$\rightarrow$	$a_{13}$	$\rightarrow$	$a_{14}$	$\rightarrow$	$a_{15}$	$\rightarrow$	$\dots$
	$\swarrow$		$\swarrow$		$\swarrow$		$\swarrow$		$\swarrow$	
$a_{21}$		$a_{22}$		$a_{23}$		$a_{24}$		$a_{25}$		$\dots$
$\downarrow$	$\swarrow$		$\swarrow$		$\swarrow$		$\swarrow$		$\swarrow$	
$a_{31}$		$a_{32}$		$a_{33}$		$a_{34}$		$a_{35}$		$\dots$
	$\swarrow$		$\swarrow$		$\swarrow$		$\swarrow$		$\swarrow$	
$a_{41}$		$a_{42}$		$a_{43}$		$a_{44}$		$a_{45}$		$\dots$
$\downarrow$	$\swarrow$		$\swarrow$		$\swarrow$		$\swarrow$		$\swarrow$	
$a_{51}$		$a_{52}$		$a_{53}$		$a_{54}$		$a_{55}$		$\dots$
	$\swarrow$		$\swarrow$		$\swarrow$		$\swarrow$		$\swarrow$	
$\vdots$	$\swarrow$	$\vdots$	$\swarrow$	$\vdots$	$\swarrow$	$\vdots$	$\swarrow$	$\vdots$	$\swarrow$	$\vdots$

The table contains every element of  $\cup_{i=1}^{\infty} A_i$ . For example, the  $j^{\text{th}}$  element of set  $A_i$  is given by  $a_{ij}$ . This element is found in the  $i^{\text{th}}$  row and  $j^{\text{th}}$  column of the table.

Furthermore, the arrows in the table induce an exhaustive **ordering** of the elements of  $\cup_{i=1}^{\infty} A_i$  as follows:

$$a_{11}, a_{12}, a_{21}, a_{31}, a_{22}, a_{13}, a_{14}, a_{23}, a_{32}, a_{41}, a_{51}, a_{42}, a_{33}, a_{24}, a_{15}, \dots$$

Note also that since the entire set of elements of  $\cup_{i=1}^{\infty} A_i$  is **ordered**, they are in a one to one correspondence with the natural numbers.

Hence, the union of denumerably many denumerable sets is denumerable. ■

**Thm** The set of rational numbers is denumerable (countable).

**Proof.**  $\mathbf{Q}^+ \cup \{0\}$  is the union of a denumerable set and a finite set, hence it is denumerable.

The entire set of rationals can be expressed as  $\mathbf{Q} = (\mathbf{Q}^+ \cup \{0\}) \cup \mathbf{Q}^-$ , which is the union of two denumerable sets, hence denumerable. ■

**Note:** All of the sets  $\mathbb{N}, \mathbb{Z}, \mathbf{E}, \mathbb{Q}$  have the same cardinality. ( $\mathbb{N} \approx \mathbb{Z} \approx \mathbf{E} \approx \mathbb{Q}$ )

i.e.,  $|\mathbb{N}| = |\mathbb{Z}| = |\mathbf{E}| = |\mathbb{Q}|$  (or  $n(\mathbb{N}) = n(\mathbb{Z}) = n(\mathbf{E}) = n(\mathbb{Q})$ )

**Lemma:** The real numbers 0.5 and 0.49999... are equal. (i.e.,  $0.5 = 0.4999\dots$ )

**Proof.** Suppose that  $x = 0.4999\dots$

**Observe:**  $10x = 4.999\dots$

Hence:  $9x = 10x - x = (4.999\dots) - (0.4999\dots) = 4.5$

i.e.,  $9x = 4.5$

Hence,  $x = 0.5$

But  $x = 0.4999\dots$  also.

Hence  $0.5 = 0.4999\dots$  ■

**Remark:** The previous proof hinges upon the supposition that we know how to add and subtract non-terminating decimals and that when we do, "things work out" just as we think they should.

**Alternate Proof**

Suppose, for the sake of deriving a contradiction, that  $0.5 \neq 0.4999\dots$

Then  $0.5 > 0.4999\dots$  and consequently,  $\exists \varepsilon > 0$  such that  $0.5 - 0.4999\dots = \varepsilon$

By the Axiom of Archimedes,  $\exists n \in \mathbf{N}$  such that  $n > -\log(\varepsilon)$

**Observe:** Since  $0.4999\dots > \underbrace{0.4999}_{n \text{ decimal places}},$

It follows that  $\varepsilon = 0.5 - 0.4999\dots < 0.5 - \underbrace{0.4999}_{n \text{ decimal places}} = 10^{-n} < 10^{\log(\varepsilon)} = \varepsilon$

Thus,  $\varepsilon < \varepsilon$ , a contradiction.

Since the assumption that  $0.5 \neq 0.4999\dots$  leads to a contradiction, the assumption must be false. Hence,  $0.5 = 0.4999\dots$  ■

**Thm** The set of real numbers in the interval  $[0, 1]$  is uncountable (non-denumerable).

**Proof.** (By contradiction)

Suppose, for the sake of deriving a contradiction, that the set of real numbers in the interval  $[0, 1]$  is denumerable.

Then there exists an *exhaustive ordering* of the set of real numbers in the interval  $[0, 1]$ .

$$\{x_1, x_2, x_3, \dots, x_n, \dots\}$$

Note that this ordering contains ALL of the real numbers in the interval  $[0, 1]$ .

Consider the decimal expansions of these numbers:

$$\begin{aligned}x_1 &= 0.x_{11}x_{12}x_{13} \dots \\x_2 &= 0.x_{21}x_{22}x_{23} \dots \\x_3 &= 0.x_{31}x_{32}x_{33} \dots \\&\vdots \\x_n &= 0.x_{n1}x_{n2}x_{n3} \dots x_{nn} \dots \\&\vdots\end{aligned}$$

**Observe:** Here,  $x_{ij}$  is the  $j^{\text{th}}$  digit past the decimal point in the decimal expansion of the  $i^{\text{th}}$  real number  $x_i$ .

**Also:** If  $x_i$  can be written in terminating and non-terminating form (e.g., 0.5 can be written as 0.499999...), then we choose the non-terminating form.

(The number 0 will be represented as 0.000...)

Define  $y \in [0, 1]$  as follows:

$$y = 0.y_1y_2y_3 \dots y_n \dots \quad \text{where } y_i \text{ is the } i^{\text{th}} \text{ digit past the decimal point in the decimal expansion of } y.$$

For  $n = 1, 2, 3, \dots$  define the digit  $y_n$  as follows:

$$y_n = \begin{cases} 5 & \text{if } x_{nn} \neq 5 \\ 1 & \text{if } x_{nn} = 5 \end{cases}$$

**Observe:**  $y \in [0, 1]$  and yet  $y \neq x_n$  for any  $n \in \mathbf{N}$ .

The reason for this is that, by construction of  $y$ , the  $n^{\text{th}}$  digit of  $y$  is different from the  $n^{\text{th}}$  digit of  $x_n$  (i.e.,  $y_n \neq x_{nn}$ ) for all  $n \in \mathbf{N}$ .

Hence,  $y \neq x_n \forall n \in \mathbf{N}$ .

This contradicts our assumption that our list contains ALL of the real numbers in the interval  $[0, 1]$ .

Since the assumption that the set of real numbers in the interval  $[0, 1]$  is denumerable led to this contradiction, the assumption must be false. Hence, the numbers in the interval  $[0, 1]$  is non-denumerable (uncountable).

■

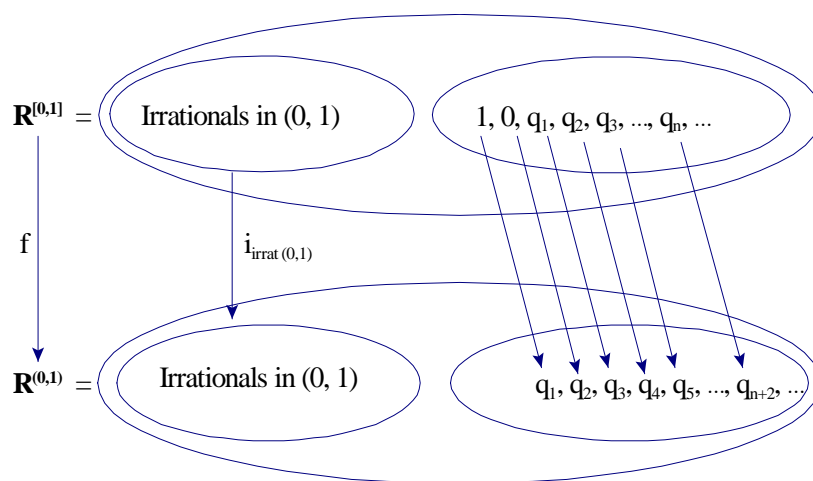
**Proposition** The set of real numbers in the interval  $(0, 1)$  has the same cardinality as the set of real numbers in the interval  $[0, 1]$ . (i.e.,  $\exists f : [0, 1] \rightarrow (0, 1)$  that is one to one and onto.)

**Proof.** Let  $\{q_1, q_2, q_3, \dots, q_n, \dots\}$  be an ordering of the rational numbers in the interval  $(0, 1)$ . (Such an ordering exists, since the rationals in the interval  $(0, 1)$  are denumerable.)

Define  $f : [0, 1] \rightarrow (0, 1)$  by

$$f(x) = \begin{cases} x & \text{if } x \in \mathbf{Q}^c \\ q_1 & \text{if } x = 0 \\ q_2 & \text{if } x = 1 \\ q_{n+2} & \text{if } x = q_n \text{ for } n \in \mathbf{N} \end{cases}$$

The function is shown graphically, below.



Clearly,  $f : [0, 1] \rightarrow (0, 1)$  is one to one and onto. ■

**Corollary:** The set of real numbers in the interval  $(0, 1)$  is non-denumerable (uncountable).

**Remark:** By assuming that  $\mathbf{Q}^{(0,1)}$  (the set of rational numbers in the interval  $(0, 1)$ ) is denumerable, we have assumed the (intuitively) obvious fact that the subset of a denumerable set is denumerable (or finite). We prove this fact now.

**Thm** The subset of a denumerable set is denumerable (or finite).

(i.e., if  $A$  is a denumerable set and  $B \subseteq A$ , then either  $B$  is finite or  $B$  is denumerable)

**Proof.** Suppose that  $B$  is not finite.

Since  $A$  is denumerable, the elements of  $A$  can be ordered as follows:

$$A = \{a_1, a_2, a_3, a_4, a_5, \dots, a_n, \dots\} \quad (\text{Eq. 1})$$

Let  $b_1$  be the first element of  $A$  that appears as an element of  $B$ .

Similarly, let  $b_2$  be the first element succeeding  $a_k$  in the sequence in Eq. 1 that is contained in  $B$ .

Proceeding inductively, we generate the entire set  $B = \{b_1, b_2, b_3, b_4, b_5, \dots, b_n, \dots\}$ . ■

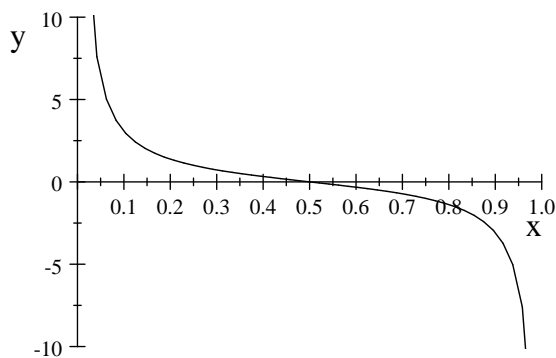
**Remark:** Regarding the previous proof, note that the Well-Ordering Principle guarantees the existence of a first element of  $A$  that appears as an element of  $B$ . If we let  $S$  be the set of subscripts of elements of  $A$  that are contained in  $B$ , then  $S$  is non-empty by our assumption that  $B$  is not finite. This means that  $S$  is a non-empty set of non-negative integers. Hence,  $S$  has a least element – call it  $k$ . Then  $a_k$  is the element that we call  $b_1$ .

The same reasoning can be used to guarantee the existence of  $b_2, b_3, \dots$

**Thm** The entire set of real numbers  $\mathbf{R}$  is uncountable (non-denumerable).

**Proof.** Since the set of real numbers in the interval  $(0, 1)$  is non-denumerable, it suffices to exhibit a function  $f : (0, 1) \rightarrow \mathbf{R}$  that is one to one and onto.

Define  $f : (0, 1) \rightarrow \mathbf{R}$  by  $f(x) = \cot(\pi x)$ .



$$f(x) = \cot(\pi x)$$

Clearly, from the graph of  $f(x)$ , we see that  $f(x)$  is one to one and onto. ■

**Thm** The set of irrational numbers  $\mathbf{Q}^c$  is uncountable.

**Proof.** (By contradiction)

Suppose, for the sake of deriving a contradiction, that  $\mathbf{Q}^c$  is countable (denumerable).

Then  $\mathbf{R} = \mathbf{Q} \cup \mathbf{Q}^c$  is the union of two denumerable sets, hence, denumerable.

This contradicts the fact that  $\mathbf{R}$  is uncountable (non-denumerable).

Since the assumption that  $\mathbf{Q}^c$  is countable (denumerable) leads to a contradiction,  $\mathbf{Q}^c$  must be uncountable (non-denumerable). ■

**Proposition**  $\forall a, b \in \mathbf{R}$ , with  $a < b$ , there is a one to one correspondence between the numbers in the interval  $(0, 1)$  and the numbers in the interval  $(a, b)$ .

**Proof.** Let  $a, b \in \mathbf{R}$ , with  $a < b$ .

Consider  $f : (a, b) \rightarrow (0, 1)$  given by  $f(x) = \frac{x-a}{b-a}$ .

Observe:  $f$  is one to one, for if  $f(x_1) = f(x_2)$ ,

$$\text{then } \frac{x_1-a}{b-a} = \frac{x_2-a}{b-a}$$

$$\Rightarrow x_1 - a = x_2 - a$$

$$\Rightarrow x_1 = x_2.$$

i.e.,  $f(x_1) = f(x_2) \Rightarrow x_1 = x_2$ , hence  $f$  is one to one.

Next, observe that  $f$  is onto, for given  $y \in (0, 1)$ , there exists an  $x \in (a, b)$ , given by  $x = \underline{y(b-a) + a}$  such that  $f(x) = y$ .

$$\text{Observe: } f(x) = \frac{x-a}{b-a} = \frac{(y(b-a)+a)-a}{b-a} = \frac{y(b-a)}{b-a} = y.$$

i.e., Given  $y \in (0, 1)$ , there exists an  $x \in (a, b)$ , such that  $f(x) = y$ .

Therefore,  $f$  is onto. ■

**Thm**  $|A| < |P(A)|$  (i.e., there does not exist a one to one and onto function from a set to its power set)

**Proof.** (By Contradiction) Let set  $A$  be given. Suppose, for the sake of contradiction, that there exists a function  $f : A \rightarrow P(A)$  that is onto.

$\forall x \in A$ , observe that not only is  $f(x) \in P(A)$ , but  $f(x) \subseteq A$ .

Define  $x \in A$  to be a *good element* if  $x \in f(x)$

Define  $x \in A$  to be a *bad element* if  $x \notin f(x)$ .

**Observe:** Every element of  $A$  must either be a good element or a bad element (but not both).

Define  $B \subseteq A$  to be the set of all bad elements of  $A$ .

i.e.,  $B = \{x \in A : x \notin f(x)\}$

Since  $B \subseteq A$ , it follows that  $B \in f(A)$ , by definition of power set.

Furthermore, since  $f$  is onto, by our contradiction assumption,  $\exists b \in A$  such that  $f(b) = B$ .

Note that  $b$  cannot be a good element. For if  $b$  were a good element, then  $b \in f(b)$

$\Rightarrow b \in B$  (because  $f(b) = B$ )

$\Rightarrow b \notin f(b)$ , by definition of  $B$ .

i.e.,  $b \in f(b)$  and  $b \notin f(b)$  - an impossibility.

Next, note that  $b$  cannot be a bad element. For if  $b$  were a bad element, then  $b \notin f(b)$

$\Rightarrow b \notin B$  (because  $f(b) = B$ )

$\Rightarrow b \in f(b)$ , by definition of  $B$ .

i.e.,  $b \notin f(b)$  and  $b \in f(b)$  - an impossibility.

Therefore,  $b$  is neither a good element nor a bad element, contrary to our observation.

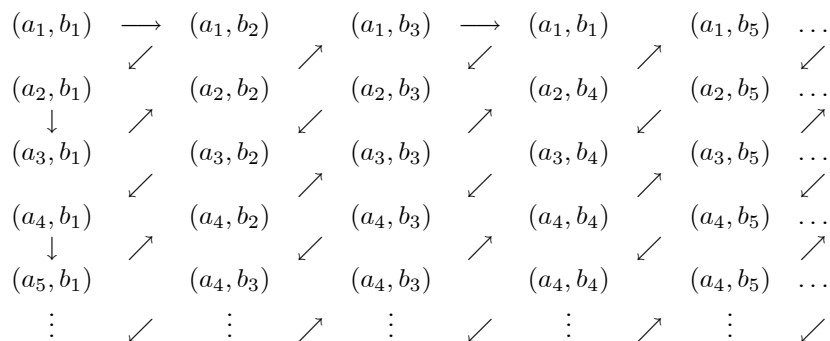
Hence,  $f : A \rightarrow P(A)$  is not onto. ■

**Thm** The product of two denumerable sets is denumerable.

**Proof.** Let the sets be  $A = \{a_1, a_2, a_3, \dots\}$  and  $B = \{b_1, b_2, b_3, \dots\}$

The product  $A \times B$  is the set of all ordered pairs  $(a_i, b_j)$  with  $a_i \in A$  and  $b_j \in B$ .

We will put all of these ordered pairs in a table such that all ordered pairs having  $a_i$  in the first coordinate are in row  $i$  and all ordered pairs having  $b_j$  in the second coordinate are in column  $j$ .



We can draw arrows that induce an **exhaustive ordering** of the ordered pairs. Therefore, the set of ordered pairs, and hence the product  $A \times B$ , is denumerable. ■